

2325 Dulles Corner Boulevard, Suite 1100  
Herndon, VA 20171  
Phone: (703) 786-3701  
Fax: (703) 786-3644

**Fleshner & Kim, LLP**

# Fax Cover

To: OFFICE OF PETITIONS  
From: David A. Bilodeau  
Fax: 571-273-0025  
Date: March 9, 2005  
Re: 09/445,060  
Pages: 73  
Our Reference: SEKI-0001

☐ Urgent    ☐ For Review    ☐ Please Comment    ☐ Please Reply    ☐ Please Recycle

Comments: In accordance with our telephone conference, attached is RCE Transmittal, Petition to Withdraw from Issue, Information Disclosure Statement, PTO-1449 and references. Thank you for your kind assistance with this matter.

*Received 70 Pages of text.  
No petition to withdraw from  
issue or RCE found included.*

*Sherry Bromley  
3/10/05*

**FAX RECEIVED**

**MAR 09 2005**

**OFFICE OF PETITIONS**

## IMPORTANT

The information contained in this facsimile is intended only for the use of the individual or entity to whom it is addressed. If you are not the intended recipient, you are hereby notified that any use, dissemination, distribution or copying of this communication is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone, and return the original message to us at the address above via the U. S. Postal Service. Thank you.

Docket No.: SEKI-0001

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Yutaka YASUKURA

Confirmation No.: 9420

Serial No.: 09/445,060

Group Art Unit: 2137

Filed: 12/2/1999

Examiner: Courtney D. Fields

Customer No.: 34610

For: AUTHENTICATION CARD SYSTEM

INFORMATION DISCLOSURE STATEMENT

FAX RECEIVED

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, Virginia 22314

MAR 09 2005

OFFICE OF PETITIONS

Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the indicated date. Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, that information cited in the statement is considered to be and/or is material to patentability, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. It is further understood that the Examiner will consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. §120. 1138 OG 37, 38 (May 19, 1992).

- ☒ 1. This Information Disclosure Statement is being filed (i) within three months of the U.S. filing date of a U.S. application other than a CPA continued prosecution application under §1.53(d) OR (ii) within three months of the date of entry of the national stage as set forth in §1.491 in an international application OR (iii) before the mailing date of a first Office Action on the merits OR (iv) before the mailing of a first Office Action after the filing of a Request for continued examination under §1.114. No certification or fee is required. 37 C.F.R. §1.97(b).
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection OR Notice of Allowance OR an action that otherwise closes prosecution in the application. 37 C.F.R. §1.97(c).
- ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart

Serial No. 09/445,060

Docket No.: SEKI-0001

foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).

☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

☐ c. Attached is our check no. \_\_\_\_\_ in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 16-0607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

☐ 3. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection OR Notice of Allowance OR an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee. Attached is our check no. \_\_\_\_\_ in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 160607 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached. 37 C.F.R. §1.97(d).

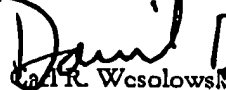
☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).

☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

☒ 4. The reference(s) was/were cited in a corresponding foreign application. An English language version of the foreign search report is attached for the Examiner's information.

☒ 5. To the extent necessary, a petition for an extension of time under 37 C.F.R. §1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 16-0607 and please credit any excess fees to such deposit account.

FLESHNER &amp; KIM, LLP

  
David A. Bilodeau

Registration No. 40,372

David A. Bilodeau

Registration No. 42,325

Correspondence Address:  
P.O. Box 221200  
Chantilly, VA 20153-1200  
Telephone: (703) 766-3701  
Date: March 9, 2005

**Please direct all correspondence to Customer Number 34610**

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



P.B.5818 - Patentlaan 2  
2280 HV Rijswijk (ZH)  
☎ +31 70 340 2040  
TX 31651 epo nl  
FAX +31 70 340 3016

Europäisches  
Patentamt

Zweigstelle  
in Den Haag  
Forschungs-  
abteilung

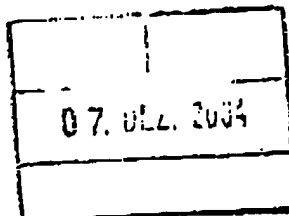
European  
Patent Office

Branch at  
The Hague  
Search  
division

Office européen  
des brevets

Département à  
La Haye  
Division de la  
recherche

Patentanwälte  
Leinweber & Zimmermann  
Rosental 7  
80331 München  
ALLEMAGNE



Datum/Date

06.12.04

Zeichen/Ref./Réf.

GFS F001 EP

Anmeldung Nr./Application No./Demande n°/Patent Nr./Patent No./Brevet n°.

99921166.7-1238-JP9902599

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire

Yasukura, Yutaka

## COMMUNICATION.

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

☒ Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

## REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





European Patent  
Office

**SUPPLEMENTARY  
EUROPEAN SEARCH REPORT**

Application Number  
EP 99 92 1166

| DOCUMENTS CONSIDERED TO BE RELEVANT  |  |  |   |
|--|--|--|---|
| Category   | Citation of document with indication, where appropriate, of relevant passages  | Relevant to claim                                    | CLASSIFICATION OF THE APPLICATION (Int.Cl.8)                |
| X  | US 5 513 272 A (BOGOSIAN JR CHARLES A)<br>30 April 1996 (1996-04-30)   | 1,3-7  | G07C9/00<br>G06F21/00                                       |
| Y  | * abstract *<br>* column 3, line 55 - column 6, line 19 *<br>* column 6, line 29 - line 36 *<br>* figures 1,2 *  | 2  |   |
| X  | US 5 457 747 A (DREXLER JEROME ET AL)<br>10 October 1995 (1995-10-10)<br>* abstract *<br>* column 7, line 31 - column 8, line 32 *<br>* figures 2,3 *  | 7  |   |
| X  | EP 0 762 340 A (CANON KK ; CANON USA INC (US))<br>12 March 1997 (1997-03-12)<br>* abstract *<br>* column 6, line 26 - column 8, line 20 *<br>* figures 1,2 *   | 7  |   |
| Y  | GB 2 171 828 A (MITSUBISHI ELECTRIC CORP)<br>3 September 1986 (1986-09-03)<br>* abstract *<br>* page 2, line 101 - page 3, line 4 *<br>* figure 3 *  | 2  | TECHNICAL FIELDS<br>SEARCHED (Int.Cl.8)<br><br>G07C<br>G06F |
| A  | EP 0 523 908 A (AMERICAN TELEPHONE & TELEGRAPH)<br>20 January 1993 (1993-01-20)<br>* abstract *<br>* column 3, line 16 - line 55 *<br>* column 7, line 52 - line 54 *<br>* column 8, line 25 - line 43 *<br>* column 9, line 28 - column 10, line 39 *<br>* figure 1 * | 1-7  |   |
| The supplementary search report has been based on the last set of claims valid and available at the start of the search.   |  |  |   |
| Place of search<br>The Hague   |  | Date of completion of the search<br>26 November 2004 | Examiner<br>Van Der Haegen, D                               |
| <p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X: particularly relevant if taken alone<br/>Y: particularly relevant if combined with another document of the same category<br/>A: technological background<br/>O: non-written disclosure<br/>P: intermediate document</p> <p>T: theory or principle underlying the invention<br/>E: earlier patent document, but published on, or after the filing date<br/>D: document cited in the application<br/>L: document cited for other reasons<br/>&amp;: member of the same patent family, corresponding document</p> |  |  |   |